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#### TELECOPIER TRANSMISSION COVER SHEET

**DATE:** March 25, 2002

Atty. Docket No.: SP01-243/9272-7

FACSIMPLE: (703) 746-4060

TO: OIPE, U.S. Patent and Trademark Office

FROM: David D. Beatty

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Comments and/or Special Instructions:

In re: Bookbinder et al. Serial No.: 09/941,383

Filing Date: August 28, 2001

Entitled: Furnace Assembly for Heating an Optical Waveguide

This facsimile is responsive to the Request for Substitute Papers dated March 18, 2002. The enclosed papers are complete and accurate copies of the Declaration and Submittal thereof, check in the amount of \$130, and copy of Notice to File Missing Parts that were filed on November 6, 2001. These documents arrived at the USPTO on January 24, 2002, according to the stamped returned postcard and the notice itself.

#### CONFIDENTIALITY

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS LEGALLY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPY OF THIS TELECOPY IS STRICTLY PROHIBITED. PLEASE NOTIFY US BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS LISTED ABOVE. THANK YOU.



Attorney Docket No. SP01-243 / 9272-7

PATENT

MAR 2 5 200

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Bookbinder et al. Serial No.: 09,941,383

Group Art Unit: 2874

Examiner: Not yet known

Filed: August 28, 2001

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For: Furnace Assembly for Heating an Optical Waveguide Preform

Date: November 6, 2001

BOX MISSING PARTS Commissioner for Patents Washington, DC 20231 COPY OF PAPERS ORIGINALLY FILED

# SUBMITTAL OF DECLARATION UNDER 37 C.F.R. § 1.63

Sir:

Enclosed is a Declaration and Power of Attorney for the above-identified application that has been executed by the named inventors.

A check in the amount of \$130.00 is enclosed to cover the filing fee and the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 50-0220.

Respectfully submitted,

David D. Beatty

Registration No. 38,071

Myers Bigel Sibley & Sajovec Post Office Box 37428 Raleigh, North Carolina 27627 Telephone (919) 854-1400 Facsimile (919) 854-1401

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, on November 6, 2001.

Meredith Schuessler

Date of Signature: November 6, 2001



## PRIVILEGED & CONFIDENTIAL

U.S. Attorney Docket No.: SP01-243

As a below named inventor, I declare that:

MAR 2 5 2002

My residence, Post Office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled FURNACE ASSEMBLY FOR HEATING AN OPTICAL WAVEGUIDE PREFORM.							
•	The specification of w	hich (check only	one item below):				
is attached hereto							
X was filed as United States Application Serial No. 09/941,383 on 8/28/01 and was amended on (if applicable)							
	s filed as PCT internation and a series of the series of t		number , on , a applicable).	and was			
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.							
I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.							
365(b) of international section in the section in t	of any foreign applicational applicational application which and have also identified the subject matter, having	ion(s) for patent n designated at le l below any forei	or inventor's certificate or inventor's certificate or country other ign application for pa	ed States Code, § 119(a)-(d) or the or 365(a) of any PCT or than the Unites States, listed tent or inventor's certificate, on cation on which priority is			
	Country: NONE	Application No	o.: F	iling Date:			
I hereby claim the benefit under Title 35 United States Code § 119(e) and § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37 Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:							
	Provisional No.:	]	Filed:	Status:			
	Application No.:	]	Filed:	Status:			
	PCT Application No	o:	Filed:	Status:			

#### PRIVILEGED & CONFIDENTIAL

### DECLARATION IN ORIGINAL APPLICATION

U.S. Attorney Docket No.: SP01-243

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor:

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same

Citizenship:

**USA** 

DATE: <u>0-+ 25,200</u>

Dana C Bookbinder

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Citizenship:

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DATE: 10/22/01

Steven A. Dunwoody

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Citizenship:

DATE:

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same

Citizenship:

USA

Received from < 919 854 1401 > at 3/25/02 1:45:31 PM [Eastern Standard Time]



# UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

	Application Number	Filing Date	First Named Applicant	Atty. Docket No.
ļ	09/941,383	08/28/2001	Bookbinder, Dana	SP01-243/9272-7
	20792 MYERS BIGEL SIBLEY & PO BOX 37428 RALEIGH NC 27627	& SAJOVEC	MYERS BIGEL E C E I V E MAR 2 1 2002	MAR 2 5 2002

Title: Furnace assembly for heating an optical waveguide preform

Date Mailed:03/18/2002

# Request for Substitute Papers

The papers filed on <u>01/24/02</u> are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.				
Name: David Beatty	Registration No.: 38,071			
Signature:	Date: 3-25-02			

/STA

MAR 2 5 2002

MYERS BIGEL SIBLEY & SAJOVEC, P.A.

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MYERS BIGEL SIBLEY & SAJOVEC, P.A. BRANCH BANKING A TRUST 025652

PATENT GAWYERS 200 EAST GHATHAM STREET CARY, NC 27811

Operating Account 568-112/531

SUITS 250, I LI CONDING ROAB, CARY, NC 27513 BATE CHECK NO. AMOUNT PO BOX-37428 - RALEIGH, NC 27627 11/06/01 25652 \*\*\*\*\$130.00

\*\*\* ONE HUNDRED THIRTY & 00/100 DOLLARS

av one Honorable Commissioner

ADTI-BRIZED SIGNATURE

#O25652# #O53101121#5211682479#

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Page 1 of 1





# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/941.383

08/28/2001

Dana C. Bookbinder

SP01-243

**CONFIRMATION NO. 6010** 

**FORMALITIES LETTER** 

\*CC0000000008825420\*

Date Mailed: 10/01/2001

20792 MYERS BIGEL SIBLEY & SAJOVEC PO BOX 37428 RALEIGH, NC 27627

COPY OF PAPERS ORIGINALLY FILED

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filling a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Received from < 919 854 1401 > at 3/25/02 1:45:31 PM [Eastern Standard Time]